

Maintaining Qualifications Program (MQP) Quick Reference

Question	Answer
What is the MQP?	The MQP provides eligible individuals who terminate any of their FINRA registrations with the option of maintaining their qualifications for up to five years by completing annual continuing education (CE).
Who is eligible for the MQP?	Individuals who were registered in the terminated registration category for at least one year immediately prior to its termination; are not subject to a statutory disqualification; and have not been CE inactive for two consecutive years generally are eligible for the MQP.
Will FINRA notify me of my eligibility?	If you have a FinPro account and are potentially eligible to join the MQP, FINRA will send an email notification to the personal email address that is provided in your FinPro account profile.
What is the deadline for enrollment in the MQP?	The deadline is two years from the termination date of the registration(s).
How do I confirm eligibility and enroll in the MQP?	You can review and enroll the eligible qualification(s) in your FinPro account.
What is the MQP second enrollment period?	FINRA announced a second enrollment period for certain individuals who had a FINRA registration terminated between March 15, 2020, and March 14, 2022. Eligible individuals who want to enroll in the MQP must do so between March 15, 2023, and Dec. 31, 2023.
How much does the MQP cost?	\$100 per year
What are the MQP requirements?	The program consists of annual CE Regulatory Element and Practical Element, which MQP participants must complete by the due date specified in their FinPro account.
Where do I enroll in the MQP and monitor and complete my MQP requirements?	You enroll in the MQP through your FinPro account. Once you enroll, you can monitor your progress and complete your requirements in the MQP section of your FinPro account.
Why should I enroll in the MQP?	The MQP provides career flexibility by allowing you to maintain your FINRA qualification(s) for up to five years by keeping you current on regulatory and securities knowledge. When you return to a member firm, you will not need to retake your qualification exam(s) to become registered.

For more information, see www.FINRA.org/MQP

What is FINRA?

FINRA is the Financial Industry Regulatory Authority, a not-for-profit organization dedicated to investor protection and market integrity. It regulates one critical part of the securities industry—brokerage firms doing business with the public in the U.S. FINRA, overseen by the SEC, writes rules, examines for and enforces compliance with FINRA rules and federal securities laws; registers broker-dealer (BD) personnel and offers them education and training; and informs the investing public. FINRA administers qualification exams designed to establish that individuals employed by BDs have attained specific levels of competence and knowledge pertinent to the industry. For more information, see www.FINRA.org.